Audit and Assurance Committee

Social Security Scotland
Tèarainteachd Shòisealta Alba

Audit and Assurance Committee	
Date of Meeting	Tuesday 20th August 2024
Subject	Risk Management Update
Agenda No.	
Paper No.	
Purpose	Discuss

1. Background

1.1. The following update for Audit and Assurance Committee covers the period May 2024 to July 2024

2. Key points

2.1. Since the last meeting with Committee members in May 2024 the following changes to the risk profile have taken place:

- CR-008 has now been refreshed from Organisational Resilience to Business Resilience as set out in the last paper. The risk is available to view in the dashboard provided.

- CR-015 Mailroom has been added to the risk register by request of the Executive Team. Mailroom project and development is one of the business priorities for the year.

- Control confidence has now been set across the majority of risks with only two exceptions in progress.

2.2. Risk appetite and tolerance is scheduled to be discussed with the Executive Team September 2024. Russell Frith has agreed to attend and support the session.

2.3. For Social Security Scotland risk appetite will be defined as the organisation's willingness to take risk and risk tolerance will be defined as how much the organisation can tolerate the risks it is willing to take.

2.4. More detail will be provided in the next update to the committee.

3. Conclusions

3.1. To ask committee if the new dashboard provides a better view of the corporate level risks.

3.2. To ask committee to decide if a deep dive is to take place in November 2024, and if so which risk they wish brought forward.

4.   GOVERNANCE CHECKLIST

Please ensure that you detail which Corporate Plan Strategic Objective the paper contributes to. These strategic considerations should be used to assist you with the content of your paper.

Strategic Objective	Contribution
Helping to deliver a social security system with dignity, fairness, and respect.	Managing risk enables Social Security Scotland to deliver the right systems for those that need to access our services.
Supporting people in Scotland to access devolved benefits that they are entitled to.	Managing risk provides the best possible systems to those who need to access our services.
Running our service in a responsible way.	Management of risk ensures that resource and cost are used efficiently and effectively. Risk is an effective part of business prioritisation and decision making.

State here how the paper considers these areas and any consultation undertaken in the agency. Only complete the section(s) relevant to your paper.

Strategic consideration	Impact
Environment	Not Applicable
Governance	Not Applicable
Data	Not Applicable
Finance	Not Applicable
Staff	Not Applicable
Equalities	Not Applicable
Estates	Not Applicable
Communications and Presentation	Not Applicable

An Impact Assessment must be carried out during the development of all new Agency policies and services and when making significant changes to policies and services. The Corporate Assurance team should be involved form an early stage to provide guidance and advice relating to completing impact assessments.

Impact Assessment Saltire Page

General Impact Assessment Queries: Corporateassuranceteam@socialsecurity.gov.scot

Equality Impact Assessment Queries: Corporateassuranceteam@socialsecurity.gov.scot

Please complete the below table.

Type of Impact Assessment	Required (Y/N)	If No - briefly state reason e.g. Not relevant/Not eligible – agreed with Deputy Director	If yes – briefly state progress to date, highlight any significant issues.
Child Rights and Wellbeing Impact Assessment (CRWIA)	N	Not relevant	
Data Protection Impact Assessment	N	Not relevant	
Equality Impact Assessment (EQIA)	N	Not relevant	
Fairer Scotland Duty assessment	N	Not relevant	
Future proofing legislation	N	Not relevant	
Human rights in policy making	N	Not relevant	
Islands Communities Impact Assessment (ICIA)	N	Not relevant	
Strategic Environment Assessment (SEA)	N	Not relevant	